KELLEY DRYE





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August 14, 2007

Commissioner for Patents MAIL STOP PETITION Washington, D.C. 20231

> U.S. Patent Application No. 10/614,782 Re:

> > **DELIVERY APPARATUS FOR USE DURING** A SURGICAL PROCEDURE AND METHOD

> > > **OF USING SAME**

Our Reference No: 23660-00654

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office in connection with the above-referenced application are the following documents: (1) Transmittal Form (1 page); (2) Fee Transmittal (1 page) (in duplicate); Petition for Revival of an Application for Patent Abandoned Unintentionally (2 pages); Request for Continued Examination (1 page) and Amendment and Response (12 pages).

Please charge any fees due for this submission to Deposit Account No. 03-2469.

Please date-stamp the enclosed copy of this letter, acknowledging receipt of the aboveidentified documents, and return it to us.

Sincerely yours,

JOHN N. COULBY, Reg. No.

Enclosures

Hugh H. Trout cc:

Howard M. Tanner

PTO/SB/21 (04-07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Papen 95, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/614 782 TRANSMITTAL Filing Date July 9, 2003 First Named Inventor **FORM** Hugh H. Trout, III Art Unit 3731 **Examiner Name** Darwin P. Erezo (to be used for all correspondence after initial filing) Attorney Docket Number 23660-00654 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC ✓ Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Petition for Revival of Application Request for Refund **Express Abandonment Request** Unintentionally Abandoned CD, Number of CD(s) Information Disclosure Statement Request for Continued Examination Landscape Table on CD **Certified Copy of Priority** Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name KELLEY DRYE & WARREN LLP Signature Printed name JOHN N. COULBY Date Reg. No. August 14, 2007 43,565 **CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name

Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (07-07)

Approved for use through 06/30/2010. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Effective on 12/08/2004. | | | | | Complete if Known | | | | | | |
|--|---------------------|-------------|------------------------|-------------------|---------------------------|----------|-----------------|---------------------|----------------------|--------------|--|
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). | | | | | Application Number 10/ | | 10/614,7 | 0/614,782 | | | |
| FEE TRANSMITTAL | | | | | Filing Date July | | July 9, 2 | , 2003 | | | |
| For FY 2007 | | | | | First Named Inventor Hu | | Hugh H. | Hugh H. Trout, III | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | Examiner Name [| | Darwin P. Erezo | | | | |
| | | | | | Art Unit 373 | | 3731 | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1145.00 | | | | | Attorney Docket No. 23660 | | 23660-0 |)0654 | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | | | | |
| Check Credit Card Money Order None Other (please identify): | | | | | | | | | | | |
| Deposit Account Deposit Account Number: 03-2469 Deposit Account Name: Collier Shannon Scott | | | | | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | | | | | |
| ✓ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee | | | | | | | | | | | |
| Charge any additional fee(s) or underpayments of fee(s) Under 37 CFR 1.16 and 1.17 Credit any overpayments | | | | | | | | | | | |
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| Information and authorization on PTO-2038. FEE CALCULATION | | | | | | | | | | | |
| | | | | | | | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES EXAMINATION FEES | | | | | | | | | | | |
| Application 1 | Tvne I | | nall Entity | Fee (S | Small Entity | | Small | Entity | | es Paid (\$) | |
| Utility | TIKA : | 300 | Fee (\$) 150 | 500 | 5) <u>Fee (\$)</u> 250 | 200 | | <u>∍ (\$)</u> ∩∩ | 1.5 | <u> </u> | |
| Design | | 200 | 100 | 100 | 50 | 130 | _ | 55 | | | |
| Plant | | 200 | 100 | 300 | | 160 | | _ | | | |
| Reissue | | 300 | 150 | 500 | 150 250 | 600 | _ | 80 | | | |
| Provisional | | 200 | | 0 | | | - | _ | | | |
| Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES Small Entity | | | | | | | | | | | |
| Fee Description Fee (\$) | | | | | | | | | | | |
| Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 | | | | | | | | | | | |
| Each indepe | | | | 200 360 | 18 | • | | | | | |
| Total Claims | e Paid (\$) | | M | | or Dependen | - | | | | | |
| | 20 or HP = | xtra Claims | Fee (\$) | = | <u> </u> | | _ | Fee (\$) | | e Paid (\$) | |
| | | | if greater than 20. | - | - Daid (ft) | | | | | | |
| Indep. Claims - 3 | <u>E</u> SorHP = | xtra Claims | <u>Fee (\$)</u> _ x | = <u>F84</u> | e Paid (\$) | | | | | | |
| HP = highest number of independent claims paid for, if greater than 3. | | | | | | | | | | | |
| 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer | | | | | | | | | | | |
| listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 | | | | | | | | | | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | | | | |
| Total Sheets | | | | | | | | | | | |
| 4. OTHER FEE(S) Fees Paid (\$) | | | | | | | | | | | |
| Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): RCE (\$395); Petition for Revival of Application (Unintentional) (\$750) 1145.00 | | | | | | | | | | | |
| Other (e.g., | late filing s | urcharge): | RCE (\$395); Peti | tion for | Revival of Applica | ation (U | nintentiona | I) (\$750) | | 1145.00 | |
| SUBMITTED BY | | | <i></i> | | | | | | | | |
| Signature | 1 | B | 1-1- | $\overline{\Box}$ | Registration No. | 3,656 | | Telepho | one _{202.3} | 42.8400 | |
| Name (Print/Type) | JOHN N. CO | ULBY | 1 | | , morney/Agenty | | | 1 | ugust 14, | | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.